

INDIABULLS ASSET MANAGEMENT COMPANY LIMITED**Corporate Office:**

Indiabulls House, Indiabulls Finance Centre, 11th Floor, Elphinstone Mills, Senapati Bapat Marg,
 Elphinstone West, Mumbai - 400 013, INDIA. Phone number: 022-61891327 Fax number: 022-61891320
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**THIRD PARTY PAYMENT DECLARATION (Should be enclosed with each payment)**

Payments by: **Parent/Grand-Parents/Related Persons Other than the Registered Guardian**
 Payments to: **To a Minor Folio only; In consideration of: Natural love and affection or as a gift only**
 Maximum Value: **Not Exceeding Rs 50,000/-** (each regular purchase)

Application and Payment Details (All details below are Mandatory, including relationship, PAN & KYC):

Folio No.									Application Form No.								
Beneficial Minor's Name																	
Investment Amount in ₹																	
Investment Cheque No.									Dated	D	D	M	M	Y	Y	Y	Y
Drawn on Bank																	
Drawn on A/c No.																	

Declaration and Signatures:

	Parent/Grand-Parents/Related Persons Other than the Registered Guardian	Guardian of Minor, as registered in the Folio														
Name																
Relationship with Minor																
Income Tax PAN																
Mandatory attachment for any amount	<input type="checkbox"/> KYC Proof								<input type="checkbox"/> PAN Proof							
Declaration	I hereby declare and confirm that the minor stated above is the beneficial owner of the investment details mentioned above and I am providing the funds for these investments on account of my natural love or affection or as a gift from my bank account only.								I confirm that I am the legal guardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the Minor.							
Signature																

BANKER'S CERTIFICATE in case of Demand Draft / Pay Order / Any Other pre-funded instrument:

To whomsoever it may concern, we hereby confirm the following details regarding the instrument issued by us:

Instrument Details:

Instrument Type	<input type="checkbox"/> Demand Draft	<input type="checkbox"/> Pay Order / Banker's Cheque															
Instrument Number									Dated	D	D	M	M	Y	Y	Y	Y
Investment Amount in ₹																	
In Favour of / Favouring																	
Payable At																	

Details of Bank Account Debited for issuing the instrument:

Bank Account No.																	Account Type								
Account Holder Details	Name of account holder																Income Tax PAN								
First holder																									
Second holder																									
Third holder																									

If the issuing Bank branch is outside India:

We further declare that we are registered as a Bank/branch as mentioned below:

Under the Regulator	Name of the Regulator															
In the Country	Country Name															
Registration No.	Registration Number															

We confirm having carried out necessary Customer Due Diligence with regard to the Beneficiary and to the source of the funds received from him, as per the standards of Anti-Money Laundering laws and other applicable relevant laws in our country

Branch Manager/Declarant(s)

Name _____
 Address _____
 City _____ State _____ PIN code _____
 Country _____ Contact Number: _____

Important Note: It is clarified that the bankers certificate suggested above is recommendatory in nature, as there may be existing Bank Letters / Certificates / Declaration, which will confirm to the spirit of the requirements, if all required details are mentioned in the certificate.

Signature :

Bank & Branch Seal : _____

CUSTODIAN ON BEHALF OF AN FII OR CLIENT (Should be enclosed with each Third party payment)

To whomsoever it may concern

Application and Payment Details (All details below are Mandatory):

Folio No.		Application Form No.									
Beneficial Applicant/ Investor Name											
Investment Amount in ₹											
Payment Mode	<input type="checkbox"/> Cheque	<input type="checkbox"/> Fund Transfer	<input type="checkbox"/> RTGS <input type="checkbox"/> NEFT								
Investment No. / UTR No.			Dated <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
Payment from Bank											
Payment from A/c No.											

We further declare that we are registered as a Custodian with SEBI under Registration No: _____

We confirm the beneficial owner as stated above and that this payment is issued by us in our capacity as Custodian to the Applicant/Investor. The source of this payment is from funds provided to us by the Applicant/Investor.

Name of Declarant(s): _____

Income Tax PAN: _____

Address of Declarant(s) _____

City: _____ State: _____

Postal code: _____ Country: _____

Signature of Declarant(s):

PAYMENT BY EMPLOYER ON BEHALF OF EMPLOYEE (Under Systematic Investment Plans or lump sum / one-time subscription, through Pay roll deductions. Should be enclosed with each Third party payment)

To whomsoever it may concern

We hereby declare that the Application Form No/s. _____ for subscription of units in Scheme _____,

Plan _____, Option _____ is accompanied by

Cheque No. _____ Dated

D	D	M	M	Y	Y	Y	Y
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Drawn on _____ (Name of the Bank / Branch).

We confirm that the beneficial owner (s) of the investment in these units is /are _____

_____ (Name of the Employee/s, with employee number/s),

who is / are my / our employee/s and am providing the funds for these investments through the payroll deduction.

Name of Declarant(s) _____

Income Tax PAN of Declarant

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Address of Declarant(s) _____

City _____ State _____

Postal code _____ Country _____

Signature of Declarant(s):

Signature of Beneficiary

INSTRUCTIONS

Investment/Subscription made through Third Party Cheque(s) will not be accepted except in the circumstances mentioned below.

1. Payment by Parents/Grand-Parents/related persons for investment made on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each purchase or per SIP installment).
2. Payment by Employer on behalf of employee under SIP through Payroll deductions.
3. Custodian on behalf of a Foreign Institutional Investor (FII) or a client.

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

- i. Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
- ii. Obtaining necessary declaration from the Investor/unitholder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the Payment is made and the relationship with the beneficiary.
- iii. Verifying the source of funds to ensure that funds have come from the drawer's account only.

In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out:

- a. If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for investments of Rs.50,000/- or more. Such prefunded instrument issued against cash payment for less than Rs.50,000/- should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the demand draft.
- b. If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder. Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. The AMC reserves the right to reject the transaction in case the payment is received from an account not belonging to the first unit holder of the mutual fund. In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as first party payments.

Third party cheque(s) for this purpose are defined as: (i) Investment made through instruments issued from an account other than that of the beneficiary investor, (ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made. Please Refer Third Party Payment Declaration form.

For any queries contact us at:

 1800-2666-002  customercare@indiabullsmf.com  www.indiabullsmf.com

Lines open from 9.30 am to 6.30 pm on all business day